THE ALTHEIDE TAM FINANCIAL GROUP
of Wells Fargo Advisors

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Retirement Assessment Questionnaire

Personal Information

Name (Last, First, MI)

Spouse/Partner's Name (Last, First, MI)

Street Address

Street Address

City, State, and ZIP Code

City, State, and ZIP Code

Email

Email

Phone (Home) (Work)

Phone (Home) (Work)

Date of Birth

Date of Birth

Estimated Retirement Date

Estimated Retirement Date

Employment Information

Employer (Self)

Employer (Spouse/Partner)

Position (Self)

Position (Spouse/Partner)

Years with Company (Self)

Years with Company (Spouse/Partner)

Annual Earned Income (Self)

Annual Earned Income (Spouse/Partner)

Please enclose, along with this questionnaire, a copy of the following:

- ☐ Most recent ***quarterly*** 401(k) statement
- ☐ Lump-Sum estimate for ***Current & Projected*** values upon retirement

Loan/Debt Information

Current Home Value $ ________ Mortgage Balance $ ________ Interest Rate ________ %

Estimated Pay-off Date ________

Do you intend to sell? ☐ Yes ☐ No When? ________

Home Equity Loan Balance $ ________ Interest Rate ________ %

Other Debt
(Credit card, Car loan, etc.) Balance $ ________ Interest Rate ________ %

Other Property
(Rental, Vacation, Raw Land, etc.) Value $ ________ Mortgage Balance $ ________ Interest Rate ________ %

Do you intend to sell? ☐ Yes ☐ No When? ________

If yes, estimated after-tax gain $ ________

Home Equity Loan Balance $ ________ Interest Rate ________ %

Portfolio Information

Stock & Bond Portfolio
(outside company plan) $________ All statements included? ☐ Yes ☐ No

Liquid Investments
(Money Market, Savings, CDs, etc.) $ ________ All statements included? ☐ Yes ☐ No

Individual Retirement Acct.
(Traditional, Roth) $ ________ All statements included? ☐ Yes ☐ No

Spouses Retirement Plans
(IRAs, 401(k), etc.) $ ________ All statements included? ☐ Yes ☐ No

Risk Tolerance

What do you expect your overall risk level to be during your retirement years?

☐ Conservative ☐ Moderately Conservative ☐ Moderate ☐ Moderately Aggressive ☐ Aggressive

Additional Income Sources

Employment After Retirement $ ______________ Beginning Age ______ Ending Age ______
(Annual, Before-tax)

Other Income $ ______________ Beginning Age ______ Ending Age ______
(Pension, Rental Income, etc.)(Annual, Before-Tax)
Adjusted annually for inflation? ☐ Yes ☐ No

Spouse's Retirement Benefits $ ______________ Beginning Age ______ Ending Age ______
(Pension, Lump-sum, etc.) (Annual, Before-tax)
Adjusted annually for inflation? ☐ Yes ☐ No

Social Security Income $ ______________ Beginning Age ______ Ending Age ______
(Monthly - Before Taxes)

Spouses Soc. Security Income $ ______________ Beginning Age ______ Ending Age ______
(Monthly - Before Taxes)

Inheritance, legal settlement, etc. $ ______________ Beginning Age ______ Ending Age ______
(After Taxes)

Anticipated annual **AFTER-TAX** income needs at retirement from all sources $ ______________

Estate Planning

Passing on your retirement assets to your beneficiaries is of great importance to some retirees, but not so to others. How important to you is estate planning, or passing on your assets to future generations?

☐ Very Important ☐ Somewhat Important ☐ Not Motivated Either Way ☐ Not Important

Do you have a living trust? ☐ Yes ☐ No Last Updated ______________

Do you have a will? ☐ Yes ☐ No Last Updated ______________

Do you have children? ☐ Yes ☐ No How Many? ______ Ages? ______________

This questionnaire is for informational gathering purposes only. If you become a client of Wells Fargo Advisors you will receive official account opening documents that will address numerous items including your tolerance for risk. The information contained in the official account opening documents will supersede this questionnaire.