THE ALTHEIDE TAM FINANCIAL GROUP
*of Wells Fargo Advisors*

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# Retirement Assessment Questionnaire

## Personal Information

| | |
|---|---|
| Name (Last, First, MI) | Spouse/Partner's Name (Last, First, MI) |
| Street Address | Street Address |
| City, State, and ZIP Code | City, State, and ZIP Code |
| Email | Email |
| Phone (Home) (Work) | Phone (Home) (Work) |
| Date of Birth | Date of Birth |
| Estimated Retirement Date | Estimated Retirement Date |

## Employment Information

| | |
|---|---|
| Employer (Self) | Employer (Spouse/Partner) |
| Position (Self) | Position (Spouse/Partner) |
| Years with Company (Self) | Years with Company (Spouse/Partner) |
| Annual Earned Income (Self) | Annual Earned Income (Spouse/Partner) |

***Please enclose, along with this questionnaire, a copy of the following:***

☐ Most recent ***quarterly*** 401(k) statement

☐ Lump-Sum estimate for ***Current & Projected*** values upon retirement

## *Loan/Debt Information*

**Current Home** Value $ __________ Mortgage Balance $ __________ Interest Rate __________ %

Estimated Pay-off Date __________

Do you intend to sell? ☐ Yes ☐ No When? __________

**Home Equity Loan** Balance $ __________ Interest Rate __________ %

**Other Debt**
(Credit card, Car loan, etc.) Balance $ __________ Interest Rate __________ %

**Other Property**
(Rental, Vacation, Raw Land, etc.) Value $ __________ Mortgage Balance $ __________ Interest Rate __________ %

Do you intend to sell? ☐ Yes ☐ No When? __________

If yes, estimated after-tax gain $ __________

**Home Equity Loan** Balance $ __________ Interest Rate __________ %

## *Portfolio Information*

Stock & Bond Portfolio
(outside company plan) $ __________ All statements included? ☐ Yes ☐ No

Liquid Investments
(Money Market, Savings, CDs, etc.) $ __________ All statements included? ☐ Yes ☐ No

Individual Retirement Acct.
(Traditional, Roth) $ __________ All statements included? ☐ Yes ☐ No

Spouses Retirement Plans
(IRAs, 401(k), etc.) $ __________ All statements included? ☐ Yes ☐ No

## *Risk Tolerance*

*What do you expect your overall risk level to be during your retirement years?*

☐ Conservative ☐ Moderately Conservative ☐ Moderate ☐ Moderately Aggressive ☐ Aggressive

## *Additional Income Sources*

**Employment After Retirement** $ ______ Beginning Age ______ Ending Age ______
(Annual, Before-tax)

**Other Income** $ ______ Beginning Age ______ Ending Age ______
(Pension, Rental Income, etc.)(Annual, Before-Tax)
Adjusted annually for inflation? ☐ Yes ☐ No

**Spouse's Retirement Benefits** $ ______ Beginning Age ______ Ending Age ______
(Pension, Lump-sum, etc.) (Annual, Before-tax)
Adjusted annually for inflation? ☐ Yes ☐ No

**Social Security Income** $ ______ Beginning Age ______ Ending Age ______
(Monthly - Before Taxes)

**Spouses Soc. Security Income** $ ______ Beginning Age ______ Ending Age ______
(Monthly - Before Taxes)

**Inheritance, legal settlement, etc.** $ ______ Beginning Age ______ Ending Age ______
(After Taxes)

Anticipated annual **AFTER-TAX** income needs at retirement from all sources $ ______

## *Estate Planning*

Passing on your retirement assets to your beneficiaries is of great importance to some retirees, but not so to others. How important to you is estate planning, or passing on your assets to future generations?

☐ Very Important ☐ Somewhat Important ☐ Not Motivated Either Way ☐ Not Important

Do you have a living trust? ☐ Yes ☐ No Last Updated ______

Do you have a will? ☐ Yes ☐ No Last Updated ______

Do you have children? ☐ Yes ☐ No How Many? ______ Ages? ______

This questionnaire is for informational gathering purposes only. If you become a client of Wells Fargo Advisors you will receive official account opening documents that will address numerous items including your tolerance for risk. The information contained in the official account opening documents will supersede this questionnaire.