THE ALTHEIDE TAM FINANCIAL GROUP
of Wells Fargo Advisors

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Retirement Assessment Questionnaire

Personal Information

Self	Spouse/Partner
Name (Last, First, MI)	Spouse/Partner's Name (Last, First, MI)
Street Address	Street Address
City, State, and ZIP Code	City, State, and ZIP Code
Email	Email
Phone (Home) (Work)	Phone (Home) (Work)
Date of Birth	Date of Birth
Estimated Retirement Date	Estimated Retirement Date

Employment Information

Self	Spouse/Partner
Employer (Self)	Employer (Spouse/Partner)
Position (Self)	Position (Spouse/Partner)
Years with Company (Self)	Years with Company (Spouse/Partner)
Annual Earned Income (Self)	Annual Earned Income (Spouse/Partner)

Please enclose, along with this questionnaire, a copy of the following:

☐ Most recent ***quarterly*** 401(k) statement

☐ Lump-Sum estimate for ***Current & Projected*** values upon retirement

Loan/Debt Information

Current Home

Value $ ____________ Mortgage Balance $ ________________ Interest Rate ______________ %

Estimated Pay-off Date ________________________________

Do you intend to sell? ☐ Yes ☐ No When? __________________

Home Equity Loan

Balance $ ______________________ Interest Rate ______________ %

Other Debt
(Credit card, Car loan, etc.)

Balance $ ______________________ Interest Rate ______________ %

Other Property
(Rental, Vacation, Raw Land, etc.)

Value $ ____________ Mortgage Balance $ ________________ Interest Rate ______________ %

Do you intend to sell? ☐ Yes ☐ No When? __________________

If yes, estimated after-tax gain $ __________________________

Home Equity Loan

Balance $ ______________________ Interest Rate ______________ %

Portfolio Information

Stock & Bond Portfolio
(outside company plan)

$____ All statements included? ☐ Yes ☐ No

Liquid Investments
(Money Market, Savings, CDs, etc.)

$ ____ All statements included? ☐ Yes ☐ No

Individual Retirement Acct.
(Traditional, Roth)

$ ____ All statements included? ☐ Yes ☐ No

Spouses Retirement Plans
(IRAs, 401(k), etc.)

$ ____ All statements included? ☐ Yes ☐ No

Risk Tolerance

What do you expect your overall risk level to be during your retirement years?

☐ Conservative ☐ Moderately Conservative ☐ Moderate ☐ Moderately Aggressive ☐ Aggressive

Additional Income Sources

Employment After Retirement $ ________________ Beginning Age ________ Ending Age ________
(Annual, Before-tax)

Other Income $ ________________ Beginning Age ________ Ending Age ________
(Pension, Rental Income, etc.)(Annual, Before-Tax)
Adjusted annually for inflation? ☐ Yes ☐ No

Spouse's Retirement Benefits $ ________________ Beginning Age ________ Ending Age ________
(Pension, Lump-sum, etc.) (Annual, Before-tax)
Adjusted annually for inflation? ☐ Yes ☐ No

Social Security Income $ ________________ Beginning Age ________ Ending Age ________
(Monthly - Before Taxes)

Spouses Soc. Security Income $ ________________ Beginning Age ________ Ending Age ________
(Monthly - Before Taxes)

Inheritance, legal settlement, etc. $ ________________ Beginning Age ________ Ending Age ________
(After Taxes)

Anticipated annual **AFTER-TAX** income needs at retirement from all sources $ ________________

Estate Planning

Passing on your retirement assets to your beneficiaries is of great importance to some retirees, but not so to others. How important to you is estate planning, or passing on your assets to future generations?

☐ Very Important ☐ Somewhat Important ☐ Not Motivated Either Way ☐ Not Important

Do you have a living trust? ☐ Yes ☐ No Last Updated ________________

Do you have a will? ☐ Yes ☐ No Last Updated ________________

Do you have children? ☐ Yes ☐ No How Many? ________ Ages? ________________

This questionnaire is for informational gathering purposes only. If you become a client of Wells Fargo Advisors you will receive official account opening documents that will address numerous items including your tolerance for risk. The information contained in the official account opening documents will supersede this questionnaire.